

Wealth Plan Update Form

For each question below, please choose "Yes" if there has been a change since the last update of your Wealth Plan or if you anticipate a change in the near future.

Name

Date

- | | | | |
|----|--|-----|----|
| 1 | Have you opened any new financial accounts (bank, investment, retirement, etc.)? | Yes | No |
| 2 | Have you taken out any new loans or mortgages? | Yes | No |
| 3 | Have you paid off any loans or mortgages? | Yes | No |
| 4 | Have you changed jobs? | Yes | No |
| 5 | Has your income changed (new source, substantial increase or decrease)? | Yes | No |
| 6 | Have your living expenses changed (new or increased, decreased, or eliminated)? | Yes | No |
| 7 | Have you retired? | Yes | No |
| 8 | Have your retirement goals changed? | Yes | No |
| 9 | Have you added any children or grandchildren to your family? | Yes | No |
| 10 | Have you made any major purchases (new home, vacation home, land, automobile, boat, etc.)? | Yes | No |
| 11 | Have you sold any real estate or personal property? | Yes | No |
| 12 | Have you purchased or started a new business? | Yes | No |
| 13 | Have you sold a business? | Yes | No |
| 14 | Have you purchased, changed, or cancelled any liability, life, disability, or long-term care insurance policies? | Yes | No |
| 15 | Have you made any gifts to charity, children, or others? | Yes | No |

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Wealth Plan Update Form (cont)

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|----|--|-----|----|
| 16 | Have your estate distribution goals changed (death of a beneficiary, new beneficiary, new charitable interests, etc.)? | Yes | No |
| 17 | Have you executed any new estate planning documents (wills, trusts, powers of attorney, etc.)? | Yes | No |
| 18 | Have you funded any trusts or other estate planning instruments? | Yes | No |
| 19 | Have you received an inheritance? | Yes | No |
| 20 | Are there any other changes to your financial situation or goals that may affect your planning? | Yes | No |
| 21 | For any “yes” answers above, please provide details: | | |

22 What is the biggest concern about your financial future right now?

23 Is there anything else that you would like us to know before our meeting?